



Department	Title	Dates
Research Integrity and Protection	Investigator Self Assessments	Effective: 10/25/17
		Approved: 10/13/17
Policy ID		Last Revised: n/a
REQM-SOP-101		Expiration: n/a

PURPOSE

This policy is intended to explain the purpose of the Investigator Self-Assessment.

SCOPE

All open, Ascension IRB approved human research studies are eligible for use of the Investigator Self-Assessment.

DEFINITIONS

None

PROCESS

1. Investigators may choose to evaluate effectiveness and improve quality of their research through a self-assessment. This option will allow investigators to determine or identify areas of improvement.
2. Investigators may obtain the "Self-Assessment Evaluation" checklist from the Research Education and Quality Management (REQM) website. This model is offered as a resource for investigators to promote their own quality improvement at their research sites.
3. Investigator self-assessment is not mandatory; it is a voluntary exercise the investigator can go through to determine areas of strength and weakness at the investigator’s research site.
4. **Objectives for Investigator Self-Assessments**
 - 2.1 Objectives for self-assessment include, but are not limited to:
 - 2.1.1 Promote quality improvement at the institution.
 - 2.1.2 Educate investigators on compliance with the Belmont Report, federal law/regulations, state law/regulations, institutional policy, and IRB policy.
 - 2.1.3 Foster open communication between the IRB and Investigators regarding such law, regulations, and policies.
3. **Completing the Self-Assessment**
 - 3.1 The REQM Coordinator is available to the Investigator to answer any questions during the completion or at the conclusion of a self-assessment evaluation.
 - 3.2 The self-assessment tool is not part of the study record and should not be maintained with the study documents. After completion, the PI may choose to retain the document or discard.

RELATED MATERIALS

Investigator Self-Assessment Checklist (available on RI&P website)

REVISION HISTORY

Version #	Date Revised	Reason for/Brief Description of Change	Revised By
01	10/13/2017	New- Initial Integration Update	J. Blundon